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10/814,826	03/31/2004	Pamela J. Fereira	DURE-303	5280
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
Office Action Occurrence	10/814,826	FEREIRA ET AL.			
Office Action Summary	Examiner	Art Unit			
	BARBARA FRAZIER	1611			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be time will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	ely filed the mailing date of this communication. (35 U.S.C. § 133).			
Status					
 1) ☐ Responsive to communication(s) filed on 13 Dec 2a) ☐ This action is FINAL. 2b) ☐ This 3) ☐ Since this application is in condition for allowant closed in accordance with the practice under E 	action is non-final. ace except for formal matters, pro				
Disposition of Claims					
4) ☐ Claim(s) 1-15 and 17-31 is/are pending in the a 4a) Of the above claim(s) 9,14 and 18-31 is/are 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-8,10-13,15 and 17 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or	withdrawn from consideration.				
Application Papers					
9) The specification is objected to by the Examiner 10) The drawing(s) filed on is/are: a) access Applicant may not request that any objection to the off Replacement drawing sheet(s) including the correction of the off the oath or declaration is objected to by the Example 11).	epted or b) \square objected to by the Edrawing(s) be held in abeyance. See on is required if the drawing(s) is obj	e37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119					
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 					
Attachment(s) 1) Notice of References Cited (PTO-892)	4) 🔲 Interview Summary				
Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ite			

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DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 12/13/10 has been entered.

Status of Claims

- 2. Claims 1-15 and 17-31 are pending in this application. Claim 16 stands canceled.
- 3. Claims 18-31 remain withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in the reply filed on 12/28/07.
- 4. Claims 9 and 14 remain withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected species, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in the reply filed on 12/28/07.
- 5. Claims 1-8, 10-13, 15, and 17 are examined.

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Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. The rejection of claims 1-8, 10-13, 15, and 17 under 35 U.S.C. 103(a) as being unpatentable over Berry et al (WO 00/45790) and Chen et al (US 2003/0180364), in combination alone or further in view of Kasraian et al (Pharm. Dev. And Tech., 4(4) 475-480, 1999) and Hunt (US 2002/0064536) is withdrawn in view of Applicant's 103(c) statement, see pages, filed 12/13/10, which disqualifies the Chen application (US 2003/0180364) as prior art. However, upon further consideration, a new ground(s) of rejection is made in view of Berry et al (WO 00/45790) and Chen et al (US 2003/0170289), in combination alone or further in view of Kasraian et al (Pharm. Dev. And Tech., 4(4) 475-480, 1999) and Hunt (US 2002/0064536).
- 8. Claims 1-8, 10-13, 15, and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Berry et al (WO 00/45790) and Chen et al (US 2003/0170289), in combination alone or further in view of Kasraian et al (Pharm. Dev. And Tech., 4(4) 475-480, 1999) and Hunt (US 2002/0064536).

The claimed elected invention is drawn to a stable nonaqueous drug formulation comprising at least one drug; and a nonaqueous, single-phase vehicle comprising at least one polymer and at least one solvent, the vehicle being miscible in water, wherein

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the drug is insoluble in one or more vehicle components and the drug formulation is stable at 37 degrees C for at least two months, and wherein the polymer was treated with methionine in an amount sufficient to reduce vehicle peroxide values below 5 ppm (see claim 1). Applicants have elected the species wherein omega-interferon is the drug, polyvinylpyrrolidone is the polymer, and benzyl alcohol is the solvent.

a) The rejection over Berry et al and Chen et al alone

Berry et al disclose stable non-aqueous single phase viscous vehicles and formulations comprising at least one beneficial agent uniformly suspended in the vehicle (abstract). The vehicle comprises polymer and solvent (page 6, lines 17-18) wherein the polymer is about 5% to about 30% and the solvent is about 30% to about 50% of the vehicle (page 6, lines 20-22). The beneficial agent may be peptides or proteins that have biological activity or that may be used to treat a disease or other pathological condition, such as interferons (page 13, lines 29). The "polymer" includes polyesters such as PLA, pyrrolidones such as polyvinylpyrrolidone, esters or ethers of unsaturated alcohols, and polyoxyethylenepolyoxypropylene block copolymers; preferred polymer is polyvinylpyrrolidone (page 12, lines 15-22). The formulations may be stored at temperatures ranging from cold to body temperature (about 37 degrees C) for long periods of time (1 month to 1 year or more) (page 6, lines 27-30).

While Berry et al suggest the use of solvent with the polymer, as well as beneficial agents such as interferons, Berry et al do not specifically teach the use of benzyl alcohol as a solvent or omega-interferon as the interferon used as the beneficial agent. The formulation is also not taught as being miscible in water.

Chen et al teach catheter injectable depot compositions comprising polyvinylpyrrolidone polymer (paragraph 49) and benzyl alcohol solvent (paragraph 50); experimental data using the formulations made reveals that compositions comprising benzyl alcohol as the solvent show an improvement by reducing the injection force of the depot gel formulation (Examples 11 and 13). Chen et al also teach that interferons may be used as the beneficial agent (paragraph 51 and 134), and that the compositions comprising polyvinylpyrrolidone and benzyl alcohol have a measure of miscibility in water (paragraph 25). Both the formulations of Berry et al. and the compositions of Chen et al. are drawn to compositions comprising interferon, polyvinylpyrrolidone, and solvent, to be used in drug delivery systems.

It is generally considered to be prima facie obvious to combine components each of which is taught by the prior art to be useful for the same purpose in order to form a composition that is to be used for an identical purpose. The motivation for combining them flows from their having been used individually in the prior art, and from the being recognized in the prior art as useful for the same purpose. As shown by the recited teachings, instant claims are no more than the combination of conventional components of compositions for drug delivery systems. It therefore follows that the instant claims define prima facie obvious subject matter. Cf. In re Kerkhoven, 626 F.2d 848, 205 USPQ 1069 (CCPA 1980).

Therefore, it would have been prima facie obvious at the time the invention was made to form a stable, nonaqueous composition by combining the interferon, polyvinylpyrrolidone and solvent of Berry et al. with the omega-interferon, polyvinylpyrrolidone and benzyl alcohol of Chen et al. in order to arrive at the claimed invention, with a reasonable expectation of success.

With respect to the drug being insoluble in one or more vehicle components (claim 1), Berry et al. teach that the beneficial agent is uniformly suspended in the

vehicle (not solubilized), and thus would not be soluble in at least one of the vehicle components.

With respect to the polymer being treated with methionine in an amount sufficient to reduce vehicle peroxide values below 5 ppm, it is noted that the limitation "the polymer was treated with methionine" is a product-by-process limitation. Product-byprocess claims are not limited to the manipulations of the recited steps, only the structure implied by the steps. See MPEP 2113. In the instant claims, the limitation of treating the polymer with methionine to reduce peroxide values does not appear to impart a structural limitation to the formulation, other than the amount of peroxide values. To that end, Berry et al teach that peroxides "not only adversely affect protein stability but would be toxic when delivered directly to, for example, the central nervous system of a human or animal" (page 4, lines 23-24), although Berry is silent as to the peroxide values of its own compositions. Still, since Berry et al teach that the formulations may be stored at temperatures ranging from cold to body temperature (about 37 degrees C) for long periods of time (1 month to 1 year or more) (page 6, lines 27-30), one skilled in the art would assume that the peroxide values of the formulations made by Berry et al and Chen et al would also be less than 5 ppm, especially given the fact the components and use of the compositions of Berry et al. and Chen et al and the compositions of the claimed invention are the same. Therefore, the process limitation of treating the polymer with methionine does not impart any additional structural limitation to the formulation other than what is already taught in the prior art.

It is noted that In re Best (195 USPQ 430) and In re Fitzgerald (205 USPQ 594) discuss the support of rejections wherein the prior art discloses subject matter which

there is reason to believe inherently includes functions that are newly cited or is identical to a product instantly claimed. In such a situation the burden is shifted to the applicants to "prove that subject matter shown to be in the prior art does not possess characteristic relied on" (205 USPQ 594, second column, first full paragraph).

b) The rejection over Berry et al and Chen et al, and further in view of Kasraian et al and Hunt

However, should the formulation of Berry et al and Chen et al not possess the characteristic relied on (i.e., not have peroxide values of less than 5 ppm), and/or should the process step of treating the polymer with methionine impart a structural limitation other than what is taught in the references of Berry et al and Chen et al, the Examiner relies on the teachings of Kasraian et al and Hunt to demonstrate that said process step would be obvious to one of ordinary skill in the art.

The inventions of Berry et al and Chen et al are delineated above.

Kasraian et al teach that polymers, such as PVP, often carry low levels of peroxides, which affect the stability of the product, as evidenced by color change.

Control of the peroxides as trace impurities is suggested (see pages 476 and 477). The teachings of Kasraian et al are drawn to injectable formulations (see title).

Hunt teaches that peroxides can be reduced by inclusion of an amino acid which can act as an oxidative sink, that is, as a scavenger for oxidizing compounds. A particularly preferred amino acid is methionine (see paragraph 117). The invention of Hunt is also drawn to injectable formulations (for example, see paragraph 141).

It would have been obvious to a person having ordinary skill in the art of injectable formulations to treat a polymer such as polyvinylpyrrolidone with methionine

in order to reduce peroxide values below 5 ppm; thus arriving at the claimed invention. Kasraian et al fairly teaches that excipients such as PVP carry levels of peroxides, and Hunt fairly teaches that methionine reduces said levels of peroxides. Therefore, one skilled in the art would be motivated to treat the PVP to be used in a formulation (such as that of Berry and Chen) with methionine in order to reduce peroxide value levels below 5 ppm. One would reasonably expect success from said process because the teachings of Kasraian et al and Hunt are both drawn to formulations suitable for delivering a drug, as are the inventions of Berry et al (page 7) and Chen et al (see abstract).

With respect to the amount and method of degradation of the drug (claims 2 and 3), Berry et al do not specifically teach the percentage of drug degraded by chemical pathways or aggregation. However, Berry et al. do teach that the formulations maintain a high level of stability over time, wherein greater than 70% of the formulation is recovered at seven weeks (Tables 5 and 6). Based on this data, one skilled in the art would conclude that the level of degradation of the formulations would be comparable to that described in the claimed invention.

With respect to the drug being a particulate material (claim 4) that is dry (claim 12) and dispersed with the vehicle as a suspension (claim 17), Berry et al. teach that the active agent is buffered, then spray dried (page 16) before forming a uniform dispersion (page 17); Berry et al. also teach that drying the beneficial agent prior to formulation enhances the stability of the formulation (page 15).

With respect to the choice of drug (claims 5-7), Berry et al teach that the beneficial agent may be interferons (page 13, lines 29), and Chen et al teach that omega-interferon may be used as the beneficial agent (paragraph 178).

With respect to the choice of polymer (claims 8 and 15), Berry et al teach that the preferred polymer is polyvinylpyrrolidone (page 12, lines 15-22), and Chen et al teach compositions comprising polyvinylpyrrolidone polymer (paragraph 75).

With respect to the viscosity of the formulation (claim 10), Berry et al. describes the vehicle of the formulation as a "viscous vehicle", which means a viscosity that is preferably about 10,000 to 250,000 poise; this is encompassed by Applicant's viscosity of about 1,000 to about 250,000 poise.

With respect to the amounts of polymer and solvent (claim 11), Berry et al. disclose that the amount of the polymer is about 5% to about 30% and the amount of solvent is about 30% to about 50% of the vehicle (page 6, lines 20-22). This appears to be comparable to the amounts claimed by Applicants, especially given that the prior art uses the flexible modifier "about". In any case, it would have been obvious to determine workable and/or optimal amounts of polymer and solvent per the reasoning of well-established precedent, such as In re Aller, 220 F.2d 454, 456, 105 USPQ 233, 235 (CCPA 1955). (Holding that "[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation.")

With respect to moisture content (claim 13), Berry et al. teach that the final moisture content of the viscous vehicle was less than 2% (page 15, line 2).

With respect to the choice of solvent (claim 15), Chen et al teach that the compositions comprise benzyl alcohol solvent (paragraph 76), and that experimental data using the formulations made reveals that compositions comprising benzyl alcohol as the solvent show an improvement by reducing the injection force of the depot gel formulation (Examples 15 and 17). Therefore, one skilled in the art would be motivated to select benzyl alcohol as the solvent due to the improved properties of said solvent, with a reasonable expectation of success.

Response to Arguments

9. Applicant's arguments with respect to claims 1-8, 10-13, 15, and 17 have been considered but are most in view of the new ground(s) of rejection.

The following rejection is newly applied:

10. Claims 1-8, 10-13, and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Berry et al (WO 00/45790), alone or further in view of Kasraian et al (Pharm. Dev. And Tech., 4(4) 475-480, 1999) and Hunt (US 2003/0064536).

The claimed elected invention is drawn to a stable nonaqueous drug formulation comprising at least one drug; and a nonaqueous, single-phase vehicle comprising at least one polymer and at least one solvent, the vehicle being miscible in water, wherein the drug is insoluble in one or more vehicle components and the drug formulation is stable at 37 degrees C for at least two months, and wherein the polymer was treated

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with methionine in an amount sufficient to reduce vehicle peroxide values below 5 ppm (see claim 1).

a) The rejection over Berry et al alone

Berry et al disclose stable non-aqueous single phase viscous vehicles and formulations comprising at least one beneficial agent uniformly suspended in the vehicle (abstract). The vehicle comprises polymer and solvent (page 6, lines 17-18) wherein the polymer is about 5% to about 30% and the solvent is about 30% to about 50% of the vehicle (page 6, lines 20-22). The beneficial agent (drug) may be peptides or proteins that have biological activity or that may be used to treat a disease or other pathological condition, such as interferons (page 13, lines 29). The "polymer" includes polyesters such as PLA, pyrrolidones such as polyvinylpyrrolidone, esters or ethers of unsaturated alcohols, and polyoxyethylenepolyoxypropylene block copolymers; preferred polymer is polyvinylpyrrolidone (page 12, lines 15-22). The "solvent" includes polyhydric alcohols such as glycerin (glycerol), and polymers of polyhydric alcohols such as polyethylene glycol (page 12, lines 23-25), both of which are miscible in water. The formulations may be stored at temperatures ranging from cold to body temperature (about 37 degrees C) for long periods of time (1 month to 1 year or more) (page 6, lines 27-30).

While Berry et al suggest the use of solvent with the polymer, as well as beneficial agents such as interferons, Berry et al do not specifically teach the use of a water-miscible solvent and polymer sufficiently to anticipate the claimed invention.

Therefore, the rejection is made under obviousness.

It would have been prima facie obvious at the time the invention was made to form a stable, nonaqueous composition by combining the beneficial agent, polyvinylpyrrolidone and solvent of Berry et al. which is water-miscible; thus arriving at the claimed invention. One skilled in the art would be motivated to do so, with a reasonable expectation of success, because Berry et al fairly teach and suggest only eight classes of compounds suitable for use as solvents in its invention, at least two of which are water-miscible (see page 12, lines 23-28). Therefore, it would be within the purview of the skilled artisan to select any of the eight classes of solvents, including those which are water-miscible solvents, by routine experimentation, in order to optimize properties of the resultant composition, such as stability and viscosity (e.g., see pages 8-10).

With respect to the drug being insoluble in one or more vehicle components (claim 1), Berry et al. teach that the beneficial agent is uniformly suspended in the vehicle (not solubilized), and thus would not be soluble in at least one of the vehicle components.

With respect to the polymer being treated with methionine in an amount sufficient to reduce vehicle peroxide values below 5 ppm, it is noted that the limitation "the polymer was treated with methionine" is a product-by-process limitation. Product-by-process claims are not limited to the manipulations of the recited steps, only the structure implied by the steps. See MPEP 2113. In the instant claims, the limitation of treating the polymer with methionine to reduce peroxide values does not appear to impart a structural limitation to the formulation, other than the amount of peroxide

values. To that end, Berry et al teach that peroxides "not only adversely affect protein stability but would be toxic when delivered directly to, for example, the central nervous system of a human or animal" (page 4, lines 23-24), although Berry is silent as to the peroxide values of its own compositions. Still, since Berry et al teach that the formulations may be stored at temperatures ranging from cold to body temperature (about 37 degrees C) for long periods of time (1 month to 1 year or more) (page 6, lines 27-30), one skilled in the art would assume that the peroxide values of the formulations made by Berry et al would also be less than 5 ppm, especially given the fact the components and use of the compositions of Berry et al. and the compositions of the claimed invention are the same. Therefore, the process limitation of treating the polymer with methionine does not impart any additional structural limitation to the formulation other than what is already taught in the prior art.

It is noted that In re Best (195 USPQ 430) and In re Fitzgerald (205 USPQ 594) discuss the support of rejections wherein the prior art discloses subject matter which there is reason to believe inherently includes functions that are newly cited or is identical to a product instantly claimed. In such a situation the burden is shifted to the applicants to "prove that subject matter shown to be in the prior art does not possess characteristic relied on" (205 USPQ 594, second column, first full paragraph).

Since Applicants have not provided any objective evidence that the formulations of Berry et al do not have peroxide values of less than 5 ppm, the rejection is maintained.

b) The rejection over Berry et al, and further in view of Kasraian et al and Hunt

However, should the formulation of Berry et al not possess the characteristic relied on (i.e., not have peroxide values of less than 5 ppm), and/or should the process

step of treating the polymer with methionine impart a structural limitation other than what is taught in the references of Berry et al and Chen et al, the Examiner relies on the teachings of Kasraian et al and Hunt to demonstrate that said process step would be obvious to one of ordinary skill in the art.

The invention of Berry et al is delineated above.

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It would have been obvious to a person having ordinary skill in the art of injectable formulations to treat a polymer such as polyvinylpyrrolidone with methionine in order to reduce peroxide values below 5 ppm; thus arriving at the claimed invention. Kasraian et al fairly teaches that excipients such as PVP carry levels of peroxides, and Hunt fairly teaches that methionine reduces said levels of peroxides. Therefore, one skilled in the art would be motivated to treat the PVP to be used in a formulation (such as that of Berry) with methionine in order to reduce peroxide value levels below 5 ppm. One would reasonably expect success from said process because the teachings of

Kasraian et al and Hunt are both drawn to formulations suitable for delivering a drug, as are the inventions of Berry et al (page 7).

With respect to the amount and method of degradation of the drug (claims 2 and 3), Berry et al do not specifically teach the percentage of drug degraded by chemical pathways or aggregation. However, Berry et al. do teach that the formulations maintain a high level of stability over time, wherein greater than 70% of the formulation is recovered at seven weeks (Tables 5 and 6). Based on this data, one skilled in the art would conclude that the level of degradation of the formulations would be comparable to that described in the claimed invention.

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With respect to the choice of polymer (claims 8 and 15), Berry et al teach that the preferred polymer is polyvinylpyrrolidone (page 12, lines 15-22).

With respect to the viscosity of the formulation (claim 10), Berry et al. describes the vehicle of the formulation as a "viscous vehicle", which means a viscosity that is preferably about 10,000 to 250,000 poise (page 11, lines 25-28); this is encompassed by Applicant's viscosity of about 1,000 to about 250,000 poise.

With respect to the amounts of polymer and solvent (claim 11), Berry et al. disclose that the amount of the polymer is about 5% to about 30% and the amount of solvent is about 30% to about 50% of the vehicle (page 6, lines 20-22). This appears to be comparable to the amounts claimed by Applicants, especially given that the prior art uses the flexible modifier "about". In any case, it would have been obvious to determine workable and/or optimal amounts of polymer and solvent per the reasoning of well-established precedent, such as In re Aller, 220 F.2d 454, 456, 105 USPQ 233, 235 (CCPA 1955). (Holding that "[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation.")

With respect to moisture content (claim 13), Berry et al. teach that the final moisture content of the viscous vehicle was less than 2% (page 15, line 2).

Conclusion

No claims are allowed at this time.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BARBARA FRAZIER whose telephone number is (571)270-3496. The examiner can normally be reached on Monday-Thursday 9am-4pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sharmila Landau can be reached on (571)272-0614. The fax phone

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number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

BSF

/Ashwin Mehta/ Primary Examiner, Technology Center 1600